

11051 U.S. PTO
04/12/01

UTILITY PATENT APPLICATION
UNDER 37 CFR 1.53(b)

HONORABLE COMMISSIONER OF
PATENTS AND TRADEMARKS
Washington D.C. 20231

Case Docket No. 114205-1701

11002 U.S. PTO
09/832865
04/12/01

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR(s): HUA, Yu

FOR: REGULATION OF SYSTEMIC IMMUNE RESPONSES UTILIZING SOLUBLE CD40

Enclosed are:

- ☒ [37] Pages of specification, claims, abstract
- ☐ [] Declaration & Power of Attorney
- ☒ [X] Priority Claimed from US Provisional Application No. 60/196,489 filed April 12, 2000.
- ☐ [] Certified copy of _____
- ☒ [X] 12 sheets of drawings
- ☐ [] An assignment of the invention to _____, and the assignment recordation fee
- ☒ [X] Return Receipt Postcard
- ☐ [] Information Disclosure Statement, Form PTO-1449
- ☐ [] Copies of IDS References
- ☒ [X] Other: Applicant asserts that they are entitled to small entity status.

The filing fee has been calculated as shown below:


(1) FOR	(2) NO. FILED	(3) NO. EXTRA	(4) RATE	(5) AMOUNT	
TOTAL CLAIMS	15	-20	0	\$18.00 =	\$ 0.00
INDEPENDENT CLAIMS	6	-3	3	x \$80.00 =	\$240.00
MULTIPLE DEPENDENT CLAIM(S) (If applicable)				+ \$270.00 =	0.00
			BASIC FEE	\$710.00	\$710.00
			Total of above calculations	=	\$ 475.00
<input type="checkbox"/> [] Assignment & Recording Fee					\$0.00
			TOTAL FEE (1/2 small ent.)		\$ 475.00

The PTO did not receive the following
listed item(s) Specification only
2.5 Pages

- ☒ Please charge my Deposit Account No. 50-0436 in the amount of \$475.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0436. A duplicate copy is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0436. A duplicate copy of this sheet is enclosed.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

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